

## **EXHIBIT F**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Illana Gozes et al.

Application No.: 10/748,765

Filed: December 29, 2003

For: METHODS OF TREATING  
AND/OR PREVENTING  
AUTOIMMUNE DISEASES

Customer No.: 20350

Confirmation No. 8714

Examiner: C. M. Woodward

Technology Center/Art Unit: 1647

DECLARATION OF DR. ILLANA GOZES  
UNDER 37 C.F.R. §1.132

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, Illana Gozes, Ph.D., being duly warned that willful false statements and the like are punishable by fine or imprisonment or both (18 U.S.C. § 1001), and may jeopardize the validity of the patent application or any patent issuing thereon, state and declare as follows:

1. All statements herein made of my own knowledge are true, and statements made on information or belief are believed to be true and correct.

2. I am currently a Professor of clinical biochemistry at Tel Aviv University. I am Director of the Adams Super Center for Brain Studies & Edersheim Levi-Gitter fMRI Institute, also at Tel Aviv University. I have been the incumbent of the Lily and Avraham Gildor Chair for the Investigation of Growth Factors at Tel Aviv University, since 1997. I am also the Chief Scientific Office and Director at Allon Therapeutics, Inc. in Vancouver, Canada. I received a Ph.D. from The Weizmann Institute of Science in 1979, and was a Haim Weizmann Postdoctoral Fellow at the Massachusetts Institute of Technology from 1979-1980. I was a Research Associate and Visiting Scientist at the Salk Institute and the Scripps Clinic and

Research Foundation from 1981-1982. I was a Senior Scientist/Associate Professor at The Weizmann Institute of Science from 1982-1989. I was a visiting scientist in developmental neurobiology at NICHD, NIH from 1989-1990. My affiliation with Tel Aviv University began in 1990. I was a Fogarty-Scholar-in-Residence at NIH from 1995-1996 and an adjunct scientist in developmental neurobiology at NIH from 2003-2004.

3. I have received a number of scientific awards and prizes, including the Juludan Prize and the Teva Founders Prize for exceptional scientific studies and the Bergmann Prize and the Neufeld award for outstanding/leading US-Israel BSF grant proposals. I am currently Editor-in-Chief of The Journal of Molecular Neuroscience and I currently sit on the editorial boards of the American Journal of Alzheimer's Disease, the International Journal of Peptide Research & Therapy and the journal Peptides. I am an author on more than 197 research papers and am an author or co-author of numerous reviews and book chapters. A copy of my curriculum vitae is attached hereto as Exhibit G and includes a list of selected publications.

4. The present invention is a method of treating multiple sclerosis (MS) by administering a therapeutically effective amount of an ADNF III peptide to a patient. The treatment includes administration of a peptide that comprises the core active site sequence of ADNF III, *i.e.*, the amino acid sequence NAPVSIPQ known as "NAP." NAP is the smallest peptide that exhibits the same activity as full-length ADNF III. Use of D-amino acid NAP and ADNF III peptides for treatment of MS are also claimed.

5. I have read and am familiar with the contents of this patent application. In addition, I have read an Office Action, dated April 29, 2008, received in the present case, as well as the cited references. It is my understanding that the Examiner alleges that the claimed invention is obvious in view of Gozes *et al.*, US patent No. 6, 613,740, WO98/35042 and Brenneman *et al.*, US 2002/0111301; or Brenneman *et al.*, Gozes *et al.*, US Patent 4,587,046 and Voet *et al.*, Biochemistry 2nd Ed., page 67. Specifically, the Examiner states that one of skill would have predicted that ADNF III polypeptides could be used to treat MS because the cited references disclose the ADNF III polypeptides can be used to treat the neuro-auto immune disorder Guillain-Barre syndrome. The Examiner also states that one of skill would have a

reasonable expectation of success because ADNF peptides treat conditions related to neuronal cell death.

6. This declaration is provided to demonstrate that the cited references do not provide motivation for their combination to arrive at the claimed methods and do not predict that the claimed methods would result from their combination. Moreover, the invention is based on a surprising result: ADNF III peptides inhibit the proliferation of immune cell and decrease the levels of cytokines secreted by immune cells.

7. Before the filing date of the priority application, ADNF III was known to prevent neuronal cell death. The ability of ADNF III to inhibit neuronal cell death was first demonstrated *in vitro* using isolated neuronal cells. *See, e.g.*, US Patent No. 6,613,740, Gozes *et al.*, Figs. 6A-C, 7A-B and column 58, line 23 through column 59, line 4. Thus, other cell types, *e.g.*, immune cells, are not required for inhibition of neuronal cell death by ADNF III.

8. Before the publication of the present application, it was not known that ADNF III affects non-neuronal cells, including immune cells. It was not known that ADNF III inhibits proliferation of immune cells. It was not known that ADNF III decreases the amount of cytokines, *e.g.*, of tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) and interleukin-12 (IL-12) secreted by immune cells. These new activities of ADNF III are disclosed in the specification and are also confirmed in post-filing data.

9. The specification provides evidence that ADNF III peptides inhibit proliferation of immune cells using an art accepted animal model of MS, myelin-oligodendrocyte glycoprotein (MOG)-induced chronic experimental autoimmune encephalomyelitis (EAE) in mice.

10. The specification demonstrates the effect of ADNF III peptides on the MOG-induced chronic EAE model at paragraphs [103]-[106]. EAE was induced by immunization of mice with the peptide encompassing amino acids 35-55 of rat MOG. Peptide synthesis was carried out by the Weizmann Institute Synthesis Unit using a solid-phase

technique, on a peptide synthesizer (Applied Biosystems Inc., Foster City, CA City). Six weeks old C57/b mice (Tel-Aviv University) were injected (subcutaneous) in the flank with a 200 $\mu$ l emulsion containing 300 $\mu$ g MOG peptide in complete Freund adjuvant (CFA) and 500 $\mu$ g Mycobacterium tuberculosis (Sigma Israel). An identical booster immunization was given on the other flank one week later. Ten days following the encephalitogenic challenge, the MOG-treated mice were observed daily and the clinical manifestations of EAE were measured by the following score: 0 = no clinical symptoms; 1 = loss of tail tonicity; 2 = partial hind limb paralysis; 3 = complete hind limb paralysis; 4 = partial frontal limb paralysis; 5 = complete frontal limb paralysis; 6 = death.

11. For treatment, mice were administered with NAP (intranasal) 0.1 microgram/mouse in a mixture containing 7.5 mg/ml sodium chloride, 1.7 mg/ml citric acid monohydrate, 3.0 mg/ml disodium phosphate dehydrate and 0.2 mg/ml of a 50% benzalkonium chloride solution. The nasal administration was given daily, 1 hour after MOG injection and was continued and given once a day, 1 hour prior to testing. Control animals received the above mixture without NAP. In the example here, NAP's daily treatment began 10-14 days prior to the MOG injection. Results showed that NAP significantly improved the clinical outcome of the animals, day 11 on, P<0.01, t-test (Figure 1 of the specification).

12. An additional experiment determined proliferative T-cell response. Results indicated that NAP inhibited the immune response (cell proliferation, Fig. 2 of the specification) *in vivo* as the proliferative response of splenocytes was much reduced (P<0.01) in the mice treated with NAP as compared to untreated mice. Furthermore, addition of MOG resulted in increased proliferation in the splenocytes of untreated animals, even at 2 micrograms/well of MOG, P<0.05). In contrast, even at 25 micrograms MOG, the proliferative response NAP treated animals did not increase.

13. Post-filing results confirm the ability of ADNF III peptides to decrease levels of tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) and interleukin-12 (IL-12) and also confirm the anti-proliferative effect of ADNF III peptides on T-cells that are activated by a MOG antigen.

14. Quintana *et al.*, *Ann. N.Y. Acad. Sci.* 1070:500-506 (2006) is submitted as Exhibit H. RAW 264.7 cells, a transformed macrophage cell line, were activated by LPS in the presence or absence of an ADNF III peptide. Results are shown at page 503 and Figure 2. As levels of ADNF III peptide were increased, levels of TNF- $\alpha$  and IL-12 secreted by the RAW 264.7 cells decreased. Thus, Quintana *et al.* demonstrate that ADNF III peptides decrease levels of TNF- $\alpha$  and IL-12 secreted by immune cells. As these experiments were done using isolated immune cells, the demonstrate that the ADNF III effect on immune cells is independent of its effect on neuronal cell.

15. The references cited by the Office Action demonstrate that ADNF III peptides prevent neuronal cell death and are silent on an ADNF III function related to immune cells. In my opinion, the ability of ADNF III to prevent neuronal cell death did not suggest or predict that ADNF III would inhibit cell proliferation, including immune cell proliferation. Prevention of neuronal cell death by ADNF III did not suggest or predict that administration of ADNF III would independently decrease the levels of cytokines secreted by immune cells. Therefore, in my opinion, one of skill would consider the discovery that ADNF III peptide inhibits immune cell proliferation to be a surprising result.

16. In view of the forgoing, in my opinion, the cited references do not teach, suggest, or predict the claimed method to treat MS. In addition, the effect of ADNF III peptides on the immune response was a surprising result.

Date: September 25, 2008

By: \_\_\_\_\_

  
Illana Gozes, Ph.D.

BLK:blk  
61473267 v161473267 v1

## **EXHIBIT G**

**Name, Titles & Address****Ilana Gozes, Ph.D.**

Professor of Clinical Biochemistry  
 The Lily and Avraham Gildor Chair  
 for the Investigation of Growth Factors  
 Director of the Adams Super Center for Brain Studies  
 & the Levie-Edersheim Gitter Institute for Functional Brain Imaging  
 Head, Section of Clinical Biochemistry &  
 the Dr. Diana and Zelman Elton (Elbaum)  
 Laboratory for Molecular Neuroendocrinology  
 Department of Human Molecular Genetics and Biochemistry  
 Sackler School of Medicine  
 Tel Aviv University  
 Tel Aviv, Israel  
 Tel: 972-3-640-7240  
 Fax: 972-3-640-8541  
 e-mail: igozes@post.tau.ac.il

**Consultant:**

Chief Scientific Officer, Allon Therapeutics Inc.  
 Editor in Chief, Journal of Molecular Neuroscience

**Education:**

1969-1972 Tel Aviv University Biology, Life Sciences B.Sc., 1973  
 1973-1978 Feinberg Graduate School, Neurobiology Ph.D., 1979  
 of the Weizmann Institute of Science.

Ph.D. Thesis: The control of tubulin expression in the developing nervous system.  
 Supervisor: Prof. U.Z. Littauer.

**ACADEMIC AND PROFESSIONAL EXPERIENCE:**

| <u>Period:</u> | <u>Name of Institution:</u>  | <u>Department:</u>                       | <u>Rank/Function:</u>                      |
|----------------|--|--|--|
| 1979-1980      | Massachusetts Institute of Technology (MIT)<br>Cambridge, MA, USA.                           | Laboratory of Neuroendocrine Regulation. | Postdoctoral Fellow                        |
| 1981-1982      | The Salk Institute,<br>and The Scripps Clinic and Research Foundation.<br>San Diego, CA, USA | Behavioral Neurobiology                  | Research Associate,<br>Visiting Scientist. |
| 1982-1987      | The Weizmann Institute of Science.   | Hormone Research                         | Senior Scientist.                          |
| 1987-1989      | The Weizmann Institute of Science.   | Hormone Research                         | Associate Professor.                       |
| 1988-1989      | NICHD, NIH.<br>Bethesda, MD, USA   | Lab. Molecular Genetics.                 | Sabbatical.                                |
| 1989-          | NICHD,NIH<br>Bethesda, MD, USA   | Developmental Neurobiology               | Visiting Scientist.                        |
|                |  |  | Invited Guest Scientist (Summers)          |
| 1990-          | Tel Aviv University<br>Tel Aviv, Israel  | Chemical Path.<br>Dept.                  | Associate Professor                        |
| 1993-94        | Tel Aviv University<br>Tel Aviv, Israel  | Chemical Path.<br>Dept.                  | Chairperson                                |
| 1993-4         | Tel Aviv University<br>Tel Aviv, Israel  | Sackler Faculty of Medicine,             | Chairperson<br>Preclinical                 |

|           |   |  |
|-----------|---|--|
| 1993-     | Tel Aviv University<br>Tel Aviv, Israel           | Department Head<br>Professor of Clinical Biochem.<br>Chairperson   |
| 1994-5    | Tel Aviv University<br>Tel Aviv, Israel           | Clinical Biochem.<br>Dept. (formerly Chem. Path.)  |
| 1995-6    | NIH   | Fogarty International Center Scholar-in-Residence.   |
| 1997-     | Tel Aviv University<br>Tel Aviv, Israel           | The Lily and Avraham Gildor Chair for the Investigation of Growth Factors  |
| 1998-2002 | Tel Aviv University<br>Tel Aviv, Israel           | Sackler Faculty of Medicine,<br>Head, International Advisory Committee<br>Member, Committee of School heads (-2000)          |
| 2001-2003 | Allon Therapeutics, Inc.<br>San Diego, CA, USA    | Chief Executive Scientist<br>Chair of the Board  |
| 2003-2004 | Allon Therapeutics, Inc.<br>San Diego, CA, USA    | Chief Scientific Officer<br>Vice Chair of the Board<br>Chair, Scientific Adv. Board interim CEO (summer 2004)                |
| 2004-     | Allon Therapeutics, Inc.<br>Vancouver, BC, Canada | Chief Scientific Officer<br>Director; Chair, Scientific Adv. Board (consultant)  |
| 2003-2004 | NICHD, NIH, Bethesda, MD, USA, NICHD              | Adjunct Scientist  |
| 2004-     | Tel Aviv University<br>Tel Aviv, Israel           | The Dr. Diana and Zelman Elton (Elbaum) Laboratory Molecular Neuroendocrin.  |
| 2006 -    | Tel Aviv University<br>Tel Aviv, Israel           | Director,<br>The Adams Super Center for Brain Studies<br>The Levie- Edersheim-Gitter Institute, for Functional Brain Imaging |
| 2007      | Israel Society for Neuroscience                   | President Elect  |

### Teaching at the Feinberg Graduate School, The Weizmann Institute of Science

|      |   |             |
|------|---|-------------|
| 1977 | Laboratory course on Protein Synthesis,   | Instructor. |
| 1978 | Laboratory course on Gel Electrophoresis,   | Instructor. |
| 1982 | Laboratory course on Basic Methods in Biological Research, Course Organizer and Instructor. |             |
| 1984 | Lecture course on Molecular Biology of Neuropeptides.                                       |             |
| 1986 | Seminar course (joined) on Neuropeptides.   |             |

### Teaching at Sackler School of Medicine, Tel Aviv University

|       |  |
|-------|--|
| 1991- | Seminar course (joined) on: Neuropeptides: from molecular genetics to function (biennial). |
|-------|--|

Cellular peptides and proteins lectures in the course on Chemical Pathology.

- 1993- Selected lectures in Chemical Pathology  
Selected lectures in Biochemistry  
Appointed:  
Course coordinator in:  
Biochemistry for the New York Program of the Sackler School of Medicine.  
Chemical Pathology for the Israeli program.
- 1995-7 Appointed: course coordinator in: Biochemistry
- 1998- Intercellular communicators: neuropeptides and growth hormones (biennial).
- 1999- Models for neurodegenerative diseases (biennial).
- 2003- Course coordinator in: The molecular basis of diseases.

#### **Administrative and Academic Responsibilities (selected)**

- 1992-1995 Sackler Faculty officer in-charge of the radioactivity safety.
- 1993-1995 Sackler Faculty of Medicine, Department Head.
- 1997-2001 Tel Aviv University Senate Committee for master degrees
- 1998- Sackler Faculty officer, in charge of international interactions: member, heads of Schools committee and the School of Medicine governing committee.
- 1999- Sackler Faculty pre-clinical appointments and promotion committee.
- 2000- Co-Chair, The American Summer Neuropeptide Conference
- 2001- Co-Director (with Dr. J.P. Schwartz): NIH-Tel Aviv University Graduate Program in Women Health Studies.
- 2002- Tel Aviv University Senate Committee for postdoctoral fellows  
Sackler Faculty of Medicine, Tel Aviv University, Grants Committee
- 2003- Secretary General, the European Neuropeptide Club (ENC).
- 2005- Ad Hoc Committee for application of patent laws (Tel Aviv University)
- 2006- Member, Tel Aviv University Board of Governors; Professorial Chairs Committee, Sackler Faculty of Medicine, University Committee for Women Issues.
- 2007- Head, Unit of Clinical Biochemistry, Sackler Faculty of Medicine, Tel Aviv University  
Member, Search committee for the dean of Life Sciences

For additional administrative and academic responsibilities, please see below in – membership and leadership in professional societies.

**D. ACTIVE PARTICIPATION IN SCIENTIFIC MEETINGS:**

- 1979                    Cytoskeleton Meeting, Cold Spring Harbor, USA.
- 1983                    Strasburg-Weizmann Meeting, Rehovot, Israel.  
Israel-France Neuroendocrine Workshop, Rehovot, Israel.
- 1984                    Regional Meeting of the International Union of Physiological Science, Jerusalem, Israel.
- Neuroendocrinology Symposium, Rehovot, Israel.
- 1985                    IBRO/UNESCO Workshop on: Mechanism of Secretion and action of  
Neurotransmitters & Neuromodulators in Central and Peripheral Synapses, Jerusalem,  
Israel.
- Neuropsychopharmacology Group Symposium on Neuropeptides and Neuropeptide  
Genes.
- Albert Einstein College of Medicine, NY, USA.
- Neuroscience Colloquium, Israel
- 1986                    ETP Autumn School. Brain Imaging: New Approaches to the Study of Brain Function.  
Greece.
- Neuropeptide Symposium - Israel Endocrine Society Meeting, Israel.
- 1987                    Vasoactive Intestinal Peptide and Related Peptides. A New York Academy Sponsored  
Meeting, NY, USA.
- 11th Pasteur-Weizmann Symposium on Recent Developments in Neurobiology,  
Rehovot, Israel.
- ETP/ENA/IBRO Practical Course on Molecular Neuroanatomical Techniques,  
Amsterdam, Holland.
- From Gene to Behavior: New Approaches to the Study of Brain Function,  
ETP Course, Italy.
- Molecular Approach to Hormone Action. Teberias, Israel (Organizing Committee).
- 1988                    European Society for Neurochemistry, Sweden (also - Invited Symposium  
Chairperson).
- International Society for Developmental Neuroscience Jerusalem, Israel (Also Invited  
Chairperson).
- 1989                    The second Otto Loewi Meeting on Cellular and Molecular Neurobiology, Eilat, Israel.
- 1990                    The 4th International Symposium on VIP and Related Peptides. Sweden.  
R.M. Goodman International Conference

New Perspectives on Genetic Markers and Genetic Diseases Among the Jewish People. Neve Ilan, Israel.

Environmental Determinants of Nervous System Development, Birmingham, Alabama, USA (also - Invited Chairperson).

1991 Annual meeting of the Israeli Societies for Clinical Chemistry, Biochemistry and Endocrinology, Tel Aviv, Israel.

Recent Advances in Neuroendocrine Regulatory Mechanisms. Israel Academy of Science and Humanities. Jerusalem, Israel.

Genetic Basis for Neuropsychiatric Disorders. Tel Aviv, Israel..

1992 Growth Factors, Peptides and Receptors'92, The 12th Washington International Spring Symposium. Washington, D.C., USA.

International Society for Developmental Neuroscience, Montpelier, France.

Israel Society for Clinical Biochemistry, Haifa, Israel.

1993 Winter Neuropeptide Symposium, CO, USA.

European Neuroendocrinology Meeting, Lisbon, Portugal.

Israeli Society for Clinical Biochemistry, Israel.

Israel-Poland Science meeting (Poland)

VIP,PACAP & Related Regulatory Peptides From Molecular Biology to Clinical Application. Strasbourg, France.

Israeli Society for Clinical Biochemistry: Organizer of a short meeting on Cell Death and Proteins Associated with Life and Death Decisions Tel Aviv, Israel.

NICHD: Genetics and Behavior Workshop. Bethesda, MD, USA.

The Second INSERM-WEIZMANN Conference on Molecular Endocrinology, from Geneto the Clinic. Rehovot, Israel.

1994 Winter Neuropeptide Symposium, CO, USA.

Organizer, Growth Factors, Hormones and New Drugs.  
Supported by the Israeli Ministry for Research andTechnology.  
(D.E. Brenneman, International Associate Symposium Chairperson).

Summer Neuropeptide Conference, Invited Chairperson, MA, USA.

Israeli Society for Endocrinology: Molecular Endocrinology Meeting, Invited Organizer.

Israeli Society for Gastroenterology (invited speaker). Israel

European Neurochemistry Society (ESN) - invited session organizer. Jerusalem, Israel.  
Society for Neuroscience, Invited symposium speaker. Miami Beach, Florida.

|      |   |
|------|---|
| 1995 | Chairperson of the Scientific Organizing Committee, 7th Meeting of the European Neuroendocrine Association and the Adams Workshop on Gene Transfer in the Brain.<br><br>Israeli Society for Clinical Biochemistry: Annual meeting, invited symposium chairperson. Israel.<br><br>Israel-Poland Science Meeting, Israel.<br><br>Center for Cancer Research, Tel Aviv University, Eilat, Israel.<br><br>VIP, PACAP & Related Regulatory Peptides From Molecular Biology to Clinical Application. New Orleans, LA, USA.<br><br>International Society for Neurochemistry<br><br>15th Biennial Meeting (SymposiumChairperson), Kyoto, Japan.<br><br>VIP/PACAP family of neuropeptide receptors, Osaka, Japan.  |
| 1996 | Winter Neuropeptide Symposium, CO, USA.<br><br>Summer Neuropeptide Conference, MA, USA.<br><br>National Polish Menopause and Adropause Soc. Poland (Plenary speaker).   |
| 1997 | Neuropeptides in Sensory and Other brain Systems, Kitzbuhel, Austria (Symposium Chair).<br><br>Stress of Life-International Congress of Stress, Budapest, Hungary (Symposium Co-Chair).<br><br>Alzheimer's Disease meetings (Israel).<br><br>The VIP/PACAP and related peptides (Freiburg, Germany)   |
| 1998 | Organizing Committee: Signal Transduction in Health and Disease (Tel Aviv, Israel)<br><br>Winter Neuropeptides (Symposia Organizer, Colorado, USA)<br><br>Minerva Meeting (Neuroscience, Israel)<br><br>Summer Neuropeptides (Gent, Belgium)<br><br>European Society for Neurochemistry (Symposia Organizer, St. Petersburg, Russia)<br><br>18th Minerva Symposium, New Strategies and Perspectives in understanding CNS disorders (Jerusalem, Israel).<br><br>Third Sepharadi-Israel meeting (Salamanca, Spain)<br><br>Organizing Committee: The 5th IUBMB Conference on The Biochemistry of Health and Disease (Jerusalem, Israel).<br><br>International Society for Neuroendocrinology meeting (Japan).<br><br>International Workshop on Conformational Diseases (Israel).<br><br>The Israeli Society for Neurosciences (Organizing Committee, Eilat, Israel).<br><br>Winter Neuropeptides (Colorado, USA) |
| 1999 |   |

- American Society for Neurochemistry (New Orleans, LA, USA)  
Pathophysiology of the Brain and the nervous System (The second  
Doris Cecelia Levy Memorial Seminar, Kiryat Anavim, Israel).  
Neuropeptides-Ferrara 1999 (Italy)  
Scientific advisory board: International Symposium on VIP and PACAP and Related  
Peptides (Elsinore, Denmark)  
The Israeli Society for Neurosciences (Organizing Committee, Eilat, Israel).  
Sackler Faculty of Medicine, Research Fair (Tel Aviv, Israel)
- 2000  
Winter Neuropeptides (Symposium organizer; Colorado, USA)  
European Neuropeptide Club (Symposium organizer; Innsbruck, Austria)  
Summer Neuropeptide Conference, Sainte-Adele, Quebec, Canada  
Organizer: The second Saul Farber Symposium, the New York State/ American  
Program of the Sackler Faculty of Medicine.  
The 7th Tel Aviv University Alzheimer Conference, Tel Aviv University / Tel Aviv  
Sourasky Medical Center.  
Organizing Committee: Signal Transduction in Health and Disease  
The Blood-Brain Barrier: Ohalo Conference (Dead Sea, Israel)  
The Gentner Symposium on Cellular And Molecular Processes In Biological Signaling  
(Jerusalem, Israel)  
Investigators Meeting, Institute for the Study of the Aging (New York, USA)  
Cytokines Meeting (New Orleans, USA)
- 2001  
Organizer: Neuropeptides 2001: the European Neuropeptide Club and the American  
Summer Neuropeptide Meeting (Maale Hachmisha, Israel)  
Winter Neuropeptides (Symposium organizer; Colorado, USA),  
Israel-Germany meeting on Signal Transduction (Berlin, Germany)  
The 8th Tel Aviv University Alzheimer Conference, Tel Aviv University / Tel Aviv  
Sourasky Medical Center  
Peptide Receptors (Montreal, Canada).  
International Congress of the Polish pharmacological Society (Plenary lecture, Cracow,  
Poland)  
Investigators Meeting, Institute for the Study of the Aging (New York, USA)  
VIP, PACAP and related peptides meeting (Platform lecture, Santa Barbara, CA, USA);  
ISOA investigators meeting (NY, USA).  
2002  
Winter Neuropeptides (Symposium organizer; Colorado, USA)

European Neuropeptide Club (Symposium organizer, Scientific Advisory Committee, Poland).

American Summer Neuropeptides (Co-Chair, Florida, USA)

ECNP (European College of Neuropharmacology) Congress (Barcelona, Spain)

STUDYIII (Israel, organizing committee); Canada-Israel Neuroscience, organizing session; ISOA investigators meeting (NJ, USA).

2003 VIP, PACAP and related peptides meeting (Scientific Advisory Committee, Japan); American Summer Neuropeptides (Co-Chair, NY, USA); Winter Neuropeptides (Colorado, USA), ISOA investigators meeting (NJ, USA), Vascular Dementia (Prague, Czech Republic).

2004 American Summer Neuropeptides (Co-Chair, NY, USA); Winter Neuropeptides (Organizing Committee, Symposium Chair, Colorado, USA) Int'l Biological Psychiatry (Sydney, Australia); Nasal Delivery (London, UK); ENC (Alicante, Spain); ISOA neuroprotection NY Acad. Sci. (NY, USA); International Endocrinology (lisbon, Portugal), International Peptide (Prague, Czech Republic), ISOA investigators meeting (NJ, USA)

2005 American Summer Neuropeptides (Co-Chair, NY, USA); Winter Neuropeptides (Organizing Committee, Symposium Chair, CO, USA) Nasal delivery (DC, USA); Int'l VIP, PACAP (Rouen, France); ISOA Alzheimer (NY, USA); STADY (Tel Aviv, Israel); SRI:Peptides Therapeutics (Advisor; Philadelphia, USA).

2006 Winter Neuropeptides (Symposium Chair, + two invited lectures, CO, USA), CNS Drug Discovery, Boston, MA, USA; Summer Neuropeptide Conference and ENC (Maimi Florida, Meeting Chair), ICAD (International Conference on Alzheimer's Disease), Madrid, Spain, Gordon Research Conference of Protein Processing, Israel Society for Neuroscience (ISFN) Eilat, Israel

2007 Drug Discovery, Development & Delivery for Chronic Neurodegenerative Disease: A Course for Academic and Biotechnology Scientists. New York, NY, Presentation, Advisory Board; Heart and Brain – Tel Aviv University, AD/PD 2007, Salzburg, Austria; European Neuropeptide Club, Annual Meeting, Santorini Island, Greece, Secretary General, VIP-PACAP Meeting, Vermont, USA, Presentation/Session-Chair/Organizing Committee, Summer Neuropeptide Meeting, Presentation/Meeting Co-Chair (President), Israel Society for Neuroscience, Austrian Society for Alzheimer's Disease

2008 ENC joint meeting, Ferrara, Italy, The 2nd Joint US-Israeli- Palestinian Brain Conference Jerusalem, ICAD Chicago, IL, USA; Drug Discovery & Development of Innovative Therapeutics, Boston, MA, USA and multiple lectures in universities in Israel and worldwide

Active participation in other numerous meetings (e.g. Annual Neuroscience meetings, USA) along the years, with either a short lecture or a poster presentation), + invited lectures in Harvard, Yale, MIT, Caltech, Scripps Clinic, Salk Institute, Stanford, UCSB, NIH, Michigan Univ., NYU, Case Western, John Hopkins's, USU, Rutgers, Kings College (London), Scotland University, Singapore National University, China, Beijing Medical University, Germany, University of Regensburg, Japan, Fujimoto Pharmaceutical Corp., Pharmaceutical Corp.: Cephalon, Regeneron, Pfizer, Bayer, Novartis, Johnson & Johnson, Amgen, QLT.

## E. ACADEMIC AND PROFESSIONAL AWARDS

- 1977 Landau Prize (Miphal Ha-pais) For Tel Aviv, Israel. Excellent Ph.D. Thesis.
- 1977 Awarded a Katzir Fellowship. Rehovot, Israel.
- 1978-1980 Awarded the Chaim Weizmann Fellowship. Rehovot, Israel.
- 1978 European Molecular Biology Organization, Heidelberg, Germany.
- 1982-1984 Jewish Agency Award. Jerusalem, Israel.
- 1982-1985 The Bergmann Memorial Fund Research Prize. For excellent US-Israel Binational Science Foundation Grant Application.
- 1983-1989 The first incumbent of Samuel O. Freedman Career Development Chair established by the Montreal Research Chapter of Friends of the Weizmann Institute of Science.
- 1991 The Juludan Prize for outstanding research achievement which show promise of having valuable scientific-technological application and are channeled to enhance man's welfare and prolong the human life span.
- 1992 The Israeli Society for Clinical Biochemistry, Best poster award.
- 1993 The Israeli Society for Clinical Biochemistry, best posters awards (shared with R. Glazer and Dr. G. Lilling).
- Teva Founders Prize for opening new horizons in medical research in Israel (shared with Prof. M. Fridkin).
- 1995 Fogarty International Scholar
- 1997 The Israeli Society for Clinical Biochemistry, best posters awards (shared with A. Davidson, M. Bassan and R. Zamostiano).  
The Lily and Avraham Gildor Chair for the Investigation of Growth Factors.
- 2000 Best scientist award, the Israeli Society for Laboratory Studies
- 2000-2003 The Neufeld Grant Award for excellent BSF grant application, Health Science
- 2003 Excellent poster award The 6th International Symposium on VIP, PACAP and Related Peptides (with Inna Divinski, Hakone, Japan).
- 2003/4 Best student best paper, Brain Research (Dr. Albert Pinhasov, USA).
- 2003/2004 SAIA Doctoral Prize and Scholarship Fund for HIV and Parkinson's Diseases Research Tel Aviv University
- 2004/2005 - Tel Aviv Univ. Dan David Scholarship, **Shmuel Mandel**
- 2005 - Tel Aviv Univ. Buchmann Doctoral Scholarship Fund, Miri Holtser-Cochav
- 2006-2008 Jerusalem, Israel, Levi Eshkol Fellowship, **Natalya Sheriev**

|       |  |
|-------|--|
| 2006- | Boaz Moav Prize for Develop. Biology, Switzerland Inst at TAU, <b>Shmuel Mandel</b>    |
| 2007- | Poster Award ( <b>Yan Jouroukhin</b> ) and Scholarship ( <b>Shmuel Mandel</b> ) ILMAR. |
| 2008- | Tel Aviv University Vice President Prize for Innovative Research (University-Wide)     |

## GRANTS

- 1982-1985 US-Israel-Binational Science Foundation, Jerusalem. "Vasoactive Intestinal Peptide, from Gene to Peptide" - \$60,000. Jerusalem, Israel.
- 1982-1984 Israel Academy of Sciences and Humanities "Control Mechanisms in the Expression of a Regulatory Peptide in the Nervous System" - \$20,000. Jerusalem, Israel.
- 1982-1986 Dysautonomia Foundation "Investigation of the Regulation of the Biosynthesis and Mechanism of NGF and NGF-like Factors" - \$40,000. New York, NY, USA.
- 1982-1989 The Leo and Julia Forchheimer Center for Molecular Genetics Grant. "The Molecular Genetics of Vasoactive Intestinal Peptide (VIP): Use of Recombinant DNA Technology to Study an Abundant regulatory peptide." - \$30,000. New York, NY, USA.
- 1983-1988 NIH (NINCDS) Grant. "Vasoactive Intestinal Peptide - From Gene to Peptide" - \$130,000. Bethesda, MD, USA.
- 1985 Weizmann Institute Center for Neuroscience Research Grant - \$1,000. Rehovot, Israel.
- 1985 Rockefeller-Weizmann Equipment Grant. "Steroid Hormone Regulation of the Hormone Transmitter Vasoactive Intestinal Peptide (VIP) Gene" - \$5,000.
- 1986-1989 Mrs. Cecelia Wilmers Grant for studies on Familial Dysautonomia - \$45,000. Geneva, Switzerland.
- 1986-1989 US-Israel-Binational Science Foundation. "Vasoactive Intestinal Peptide, from Gene to Peptide." - \$90,000. Jerusalem, Israel.
- 1987-1988 ETP grant. "Hormonal regulation of Vasoactive Intestinal PeptideExpression" -\$6,000. Strasbourg, France.
- 1987-1989 Israel Dysautonomia Foundation. "In Search of the Afflicted Gene in Dysautonomia"- \$10,000. Israel.
- 1987-1997 Industrial Grant on: Production of VIP Super-Active Analogues, for treatment of Impotence and Dementia. In cooperation with Dr. M. Fridkin. about \$2,400,000. With the possibility to extend. Japan.
- 1991-1992 Israel Cancer Research Foundation: "VIP in Cancer" \$15,000/year, for two years.
- 1992-1994 The Israeli National Fund for Research and Development, The Office for Science and Technology. "New neurotrophic Hormones" - \$10,000/first year, 20,000/ secondyear and third years.

- 1992-1995 The US-Israel Binational Science Foundation, together with Dr. D.E.Brenneman (NIH, NICHD): Molecular characterization of activity dependent neurotrophic factor ADNF; \$30,500/year (for three years).
- 1993-1993 For the Faculty of Medicine, University support for equipment - by the vice president for research and development- \$4,500/ half a year.
- 1994-1994 University support for equipment - by the vice president for research and development- \$4,200/half a year.
- 1996-1997 Industrial support, \$200,000/year +\$150,000 (option fee, the first year) for developing an anti impotence drug (in collaboration with Prof. Mati Fridkin, Weizmann Inst. of Science).
- 1997-1999 Biological effects of VIP on Intrauterine growth retardation (The Israeli Ministry of Health) (\$11,905/year, two years)  
Biological Aspects of Novel Growth factors (Tel Aviv University fund) \$3,500
- 1997-1999 Industrial Support (\$225,000 in collaboration with Dr. D.E. Brenneman, NIH.
- 1997-2000 The US-Israel Binational Science Foundation, together with Dr. D.E. Brenneman (NIH, NICHD): Molecular characterization of activity dependent neurotrophic factors; \$38,500/year (for three years).
- 1998-1998 The Adams Super Center for Brain Studies- \$10,000, equipment grant.
- 1998-1998 The University fund- \$9,000, cancer research.
- 1999-2001 The Institute for the study of the aging (\$100,000/ first year; \$120,000/second year)
- 1999-2003 The Israel Science Foundation (about \$32,000/year for four years)
- 2000-2003 The US-Israel Binational Science Foundation, together with Dr. D.E. Brenneman (NIH, NICHD): \$34,000/year
- 2000-2001 The Sackler Faculty of Medicine (\$7000/year)
- 2001-2002 A Tel Aviv University Award (\$12,500/year)
- 2002- A Tel Aviv University Cancer center Award (\$20,000/year)
- 2003-2011 Alion Therapeutics through Ramot (\$100,000-\$250,000/year) with the possibility to extend
- 2003 Summer Neuropeptide Meeting
- 2003-2004 Teva Contract through Ramot (\$100,000/year)
- 2003-2004 The Mariana and George Saya grant, HIV and Parkinson (Tel Aviv Univ. \$5000)
- 2003-2004 Nofar, industrial grant through Ramot (~\$100,000/year –neuropeptides and sexual function, in collaboration with JNJ, Israel) – had to decline due to a Sabbatical conflict
- 2003-2007 The Israel Science Foundation (about \$32,000/year for four years)
- 2004-2008 The US-Israel Binational Science Foundation, together with Dr. Peng Y. Loh and Dr. Joanna Hill (NIH) (~ \$32,000/year for four years)

- 2005- Johnson and Johnson through Ramot (~\$20,000)
- 2006- Nofar, industrial grant through Ramot (~\$100,000/year –inhibition of cancer growth by antisense oligodeoxynucleotides, in collaboration with Teva, Israel)
- 2008- IsrALS (drug screening - \$20,000/eight months); A.M.N \$50,000/year (possibility for extension for 4 years) innovative neuroscience research – ADNP, ADNP2 and NAP

## **MEMBERSHIP AND LEADERSHIP IN PROFESSIONAL SOCIETIES**

- 1979- Member of the Society of Neuroscience, USA.
- 1981- Member of the New York Academy of Sciences (by invitation) Permanent Membership Awarded in 1985, USA.
- 1984- Member of the Israeli Society for Endocrinology, Israel.
- 1987- Member of the International Society of Developmental Neuroscience, USA.
- 1987- Member of the Scientific Counsel of the Weizmann Institute of Science. Israel.
- 1987- Editorial Board of The Journal of Molecular Neuroscience (Birkhauser Boston Inc. Publishers, as of 1992, published by Humana Press) USA.
- 1989-1992 Editorial Board of The New Biologist (Saunders Scientific Publications). USA.
- 1992- Member-the Israeli Society for Clinical Biochemistry (appointed member of the fund for advancement of clinical chemistry in Israel - 1993).
- 1992-1997 Member-Scientific Committee of the Institute for Molecular Medicine, Sackler School of Medicine, Tel Aviv University.
- 1992-1996 Search and new appointments committee, Sackler School of Medicine, Tel Aviv University.
- 1993- Member-The European Neuroendocrine Association.
- 1993-1996 Member-"Ramot-Tel Aviv University" Fund for Research.
- 1994- Ad Hoc committee for absorption of scientists - new immigrants "The Ministry for Science and the Arts."
- 1995- Member of the International Society for Neurochemistry.
- 1997- Ad Hoc committee for prizes of excellency for the Biennial Doris Cecilia Levy Memorial Seminar in Brain Research.
- Member Tel Aviv University committee for Masters Degrees. (-2000)
- Member of the committee of the Israeli Neuroscience Society (-1999)
- International Committee for the determination of VIP and PACAP receptor nomenclature.
- 1998- 2002 Member of the Sackler Faculty of Medicine: 1. Research and development committee.  
2. Graduate school committee.

|                |  |
|----------------|--|
| 1999-          | Member of the Sackler Faculty pre-clinical appointment and promotion committee   |
| 1999-          | <b>Editor, The Journal of Molecular Neuroscience, Human Press.</b>   |
| 2000-          | Member, scientific advisory board: the Institute for the study of aging, NY, USA<br>Executive guest editor: Current pharmaceutical Design<br>Co-Chair- American Summer Neuropeptide Conference                               |
| 2000-2003      | Member: Clore Scholar Board  |
| 2002-          | <b>Editor-in-Chief - Journal of Molecular Neuroscience, Human Press.</b>   |
| 2002-          | Tel Aviv University Senate Committee for postdoctoral fellows<br>Sackler Faculty of Medicine, Tel Aviv University, Grants Committee  |
| 2003-          | Secretary General, the European Neuropeptide Club (ENC).<br>Selection committee for Gotlieb Award (ISOA, NY, USA),<br>VIP and Related Peptide, Scientific Advisory Committee   |
| 2004-          | Editorial Board, American Journal of Alzheimer's Research and other Dementias (USA)<br>International Advisory Committee, the VIP PACAP and Related Peptides Meeting<br>Member, Winter Neuropeptides Steering Committee (USA) |
| 2005-          | Member, Scientific Advisory Committee:<br>The United State Israel Binational Science Foundation<br>Editorial Board, Peptides, Elsevier Press<br>Editorial Board, Peptide Research and Therapeutics                           |
| 2006-          | Board member: Drug discovery, development & delivery for chronic neurodegenerative disease: a course for academic and biotechnology scientists- Alzheimer's Drug Discovery Foundations, New York (USA)                       |
| 2007-<br>2008- | President-elect, the Israel Society for Neuroscience<br>Editorial Board, International Journal of Peptides   |

## STUDENTS IN THE WEIZMANN INSTITUTE OF SCIENCE

|           |   |
|-----------|---|
| 1982-1984 | H. Schwartz, M.Sc. "Towards the Biosynthesis of VIP" (In cooperation with Dr. Fridkin)  |
| 1982-1985 | M. Bodner, Ph.D. "Isolation and Characterization of the Human Gene Encoding Vasoactive Intestinal Polypeptide", (In cooperation with Dr. Mati Fridkin). |
| 1986-1987 | M. Fawzi, M.Sc. "The Neuropeptide VIP and it's Receptor."   |
| 1984-1989 | R. Avidor, Ph.D. "In Situ Localization of Gene Expression: The Neuropeptides VIP and PHI-27.  |
| 1984-1989 | E. Giladi, Ph.D. "Control Regulating the Expression of the Vasoactive Intestinal Polypeptide (VIP) Gene". (Awarded the Levi Eshkol Fellowship).         |
| 1987-1989 | R. Levy Holtzman, M.Sc. "Synaptic Plasticity of VIP Gene Expression."   |

## STUDENTS AT SACKLER SCHOOL OF MEDICINE, TEL-AVIV UNIVERSITY

|            |  |
|------------|--|
| 1991-1995  | A. Bardea (M.Sc. recommended distinction) "The physiology of VIP." Excellence Prize (Israeli Soc. for Clin. Biochemistry).   |
| 1990-1994  | R. Glazer - (M.Sc. with distinction) "Is VIP an Steiner integral part of the biological clock in mammals" (Dean's list for excellent students), Best poster award and excellence prize, (Israeli Society for Clinical Biochemistry). |
| 1990-1997  | A. Davidson (Ph.D.) "Molecular Cloning of Activity-Dependent Neurotrophic Factors." Excellence Prizes (Israeli Society for Clinical Biochemistry)  |
| 1992-      | M. Bassan (Ph.D. candidate) "Activity- Dependent Neurotrophic Factor, a possible family of growth factors" (Best poster prize the Israeli Society for Clinical Biochemistry).  |
| 1992-      | Y. Nesher (M.Sc. Candidate) "VIP receptors in cancer".   |
| 1994- 2000 | R. Zamostiano (Ph.D. awarded 2001) "Neuronal apoptosis" (Best poster prize the Israeli Society for Clinical Biochemistry).   |
| 1994-1995  | M. Bechar (M.Sc. recommended distinction) "VIP and developmental deficiencies in ApoE-mice".   |
| 1994-1995  | O. Ashur-Fabian (M.Sc. with distinction) "VIP in Alzheimer's disease". Dean's list of excellence.  |
| 1994-2000  | R. Granoth (Ph.D. with Prof. M. Fridkin, Weizmann Institute of Science) "VIP and skin in health and disease."  |
| 1995-2001  | O. Ashur-Fabian (Ph.D.) "Mechanism of VIP activity". Dean's list of excellence.  |
| 1997-1998  | A. Pinhasov (M.Sc. excellent) "VIP gene expression in health and disease".   |
| 1998-2000  | A. Sigalov (M.Sc.) "High blood pressure, growth retardation and stroke"  |
| 1998-2001  | J. Romano (M.Sc.) "VIP/ADNP and head trauma".  |
| 1999-2002  | I. Volih (M.Sc.) "Processing of hsp60".  |
| 1999-2005  | S. Furman (Ph.D.) "Biological activity and processing of activity-dependent neuroprotective protein (ADNP)".   |
| 2000-2002  | Y. Segal-Ruder (M.Sc. Excellent) "Neuroprotection mechanisms: the effect of oxidative stress on the expression of VIP, PACAP peptides and the receptors VPAC1 and VPAC2 in astroglia"  |
| 2001-2005  | R. Zaltzman (M.D./Ph.D.) "The involvement of ADNP and its derivative NAP in injury to the central nervous system".   |
| 2000-2003  | M. Michlin (M.Sc.) "Glial proteins that mediate the activity of neuropeptides from the VIP family"   |
|            | M. Leiderman (M.Sc., Excellent oral examination) "The mechanism of SNV action: in search for SNV binding proteins and its influence on gene expression in the NF kappa B signaling pathway."   |
| 2000-2006  | I. Piltzer (Ph.D.) "Isolation of the neuronal survival mediating VIP receptor"<br>I. Divinski (Ph.D.) "Proteins that mediate NAP neuroprotection".<br>D. Dangoor (Ph.D., with Prof. M. Fridkin, Weizmann Institute of Science)       |

**"VIP; Design of novel analogs and sexual functions"**

|           |   |
|-----------|---|
| 2002-     | I. Vulih-Shultzman (Ph.D. candidate)<br>"Partial deficiency in ADNP".   |
| 2003-     | Shmuel Mendel (Direct Ph.D. candidate)  |
| 2003-2005 | "Characterization of Activity-dependent neuroprotective protein"<br>Irit Spivak-Pohis (M.Sc.) "ADNP processing"<br>Karin Vered (M.Sc.) Tau phosphorylation in experimental encephalopathy<br>Michal Kukshnir (M.Sc.) Novel gene KIAA 0863<br>Alexander Kryvoshey (M.Sc.) Partial deletion/ADNP<br>Miri Holtser-Cochav (M.Sc.) "Characterization of NAP binding to tubulin: a unique mechanism of neuroprotection" |
| 2005 -    | Ilona Zemlyak (Ph.D. candidate) in collaborations with R. Sapolsky, Stanford University<br>"NAP, ADNP and epilepsy."<br>Efrat Dresner (M.D./Ph.D. candidate)<br>"The relationship between ADNP and KIA 0863"<br>Natalia Shiryaev (Ph.D. candidate)<br>"Novel growth factors in animal models of Alzheimer's disease and tauopathies"  |
| 2004      | Saar Oz (M.Sc. candidate) "Molecular modelling and docking simulation of NAP & Tubulin in order to identify and understand the binding mode between them."  |
| 2005      | Inbal Michaelovich, (M.Sc. candidate) "Cancer treatment by ADNP antisense"<br>Maya Maor, (M.Sc. candidate) "Characterization of NAP binding to tubulin and of NAP binding to tubulin"<br>Shmuel Goldberg, (Ph.D. direct candidate) "Learning behavior Parp inhibition and lack of special memory creation"<br>Tal Shprung, (M.Sc. candidate) "NAP protection from taxol neuropathy"                               |
| 2006-     | Yan Jouroukhin (M.Sc. candidate) "ALS neuroprotection"  |
| 2007-     | Avia Merlander (Ph.D. candidate) " Protection in diabetes neuropathy"   |
| 2008-     | Shumert Ben-Yosef (M.Sc. candidate- Direct Ph.D. candidate 2008-) " tubulin and learning"<br>Anat Idan (Ph.D. candidate) "Neuropathy and Cognition"<br>Yulie Schirer (M.Sc. candidate) "Taoupathy"  |

**SABBATICAL FELLOWS PHYSICIANS (M.Sc. STUDIES) AND VISITORS**

|      |  |
|------|--|
| 1983 | D. Wurtman (Harvard University), summer student.   |
|      | Dr. C. Dutton (University of Cape Town, South Africa)  |
| 1984 | E. Bril (Summer student)   |
| 1985 | Prof. Maynard Makman (Albert EinsteinCollege of Medicine)  |
|      | Iris Geffen (Albert Einstein College of Medicine)  |
| 1986 | Dr. William Rostene (INSERM, INSERM Fellowship)  |
|      | Dr. Pinhas Shachter (Sheba Medical Center, Tel Hashomer, Israel).  |
| 1987 | Dr. Hanan Goldman (Hadassah Medical School, Jerusalem, Israel).<br>Prof. Bruce McEwen (Rockefeller University) |

|   |  |
|---|--|
|   | Dr. Frank Baldino (President, Cephalon Inc.)   |
| 1989, 1992,<br>1993,1994, 1995,<br>1997,2000,2001 | Dr. Douglas E. Brenneman (NICHD, NIH)  |
| 1996-   | Dr. Haim Bassan (Tel Aviv Medical Center)  |
| 1997-   | Dr. Albert Levi (Rabin Medical Center)   |
| 1998-   | Dr. Edgar Gelber (Rabin Medical Center)  |
| 1999-   | Dr. Yafim Rabinovitz (Rabin Medical Center)  |
| 2000-   | Dr. Eitan Heldenberg (Sheba Medical Center)<br>Dr. Michael Rothstein (Tel Aviv Medical Center)<br>Roy Zalzman (MD student)<br>Roy Alcalay (MD student) |
| 2001-   | Dr. Avron D. Spier (Scripps Research Institute)<br>Andrew M. Rosensweig (Summer student, MIT)  |
| 2001-2003   | Dr. Roy Alcalay (MD student)   |
| 2002-   | Dr. Avron D. Spier (guest scientist)   |
| 2003-   | Amit Meshi (MD student)  |
| 2006-   | Dana Yelin (MD student)  |

**Post Doctoral Fellows**

|           |  |
|-----------|--|
| 1991-1995 | Dr. Gila Lilling- Kolton Foundation          |
| 1992-1994 | Dr. Sergei Zhukovsky                         |
| 1995-     | Dr. Orly Perl                                |
| 1998-2000 | The late Dr. Ruth Steingart-Koret Foundation |

**Resident scientists**

|           |                                  |
|-----------|----------------------------------|
| 1995-1995 | Dr. Zila Blatt                   |
| 1995-     | Dr. Eliezer Giladi               |
| 2001-2005 | The late Dr. Ruth Steingart      |
| 2002-2003 | Dr. Zipora Pittel (sabbatical)   |
| 2005-2006 | Dr. Albert Pinhasov (consultant) |
| 2006-2007 | Prof. Nava Naveh (sabbatical)    |
| 2007-     | Dr. Sharon Furman-Assaf          |

**LIST OF PUBLICATIONS:**

**A. ARTICLES:**

1. I. Gozes, H. Schmitt and U.Z. Littauer. Translation in vitro of rat brain messenger RNA coding for tubulin and actin. *Proc. Natl. Acad. Sci. USA* 72, 701-705, 1975.
2. M.D. Walker, I. Gozes, A.M. Kaye, N. Reiss and U.Z. Littauer. The estrogen induced protein: Quantitation by autoradiography of polyacrylamide gels. *J. Steroid Biochem.* 7, 1083-1085, 1976.
3. U.Z. Littauer, H. Schmitt and I. Gozes. Properties and synthesis of tubulin in neuroblastoma cells. *J. Natl. Cancer Inst.* 57, 647-651, 1976.
4. H. Schmitt, I. Gozes and U.Z. Littauer. Decrease in levels and rates of synthesis of tubulin and actin in developing rat brain. *Brain Res.* 121, 327-342, 1977.
5. I. Gozes, U.Z. Littauer, B. Geiger and S. Fuchs. Immunochemical determination of tubulin. *FEBS Lett.* 73, 109-114, 1977.
6. I. Gozes, M.D. Walker, A.M. Kaye and U.Z. Littauer. Synthesis of tubulin and actin by neuronal and glial nuclear preparations from developing rat brain. *J. Biol. Chem.* 252, 1819-1825, 1977.
7. I. Gozes and U.Z. Littauer. Tubulin microheterogeneity increases with rat brain maturation. *Nature* 276, 411-413, 1978.
8. I. Gozes, and C. Richter-Landsberg. Identification of tubulin associated with rat brain myelin. *FEBS Lett.* 95 169-172, 1978.
9. R. Salomon, M. Bar-Yosef, H. Soreq, I. Gozes and U.Z. Littauer. Translation in vitro of Carnation Mottle Virus RNA: Regulatory function of the 3'-region. *Virology* 90 288-298, 1978.
10. I. Gozes and U.Z. Littauer. The alpha-subunit of tubulin is preferentially associated with brain presynaptic membranes. *FEBS Lett.* 99, 86-90, 1979.
11. I. Gozes, D. Saya and U.Z. Littauer. Tubulin microheterogeneity in neuroblastoma and glioma cells differs from that of brain. *Brain Res.* 171, 171-175, 1979.
12. M.D. Walker, V. Negreanu, I. Gozes and A.M. Kaye. Identification the estrogen induced protein in uterus and brain of untreated immature rats. *FEBS Lett.* 98 187-191, 1979.
13. I. Gozes, A. de Baetselier and U.Z. Littauer. Translation of rat brain mRNA coding for multiple tubulin species. *Eur. J. Biochem.* 103, 13-20, 1980.
14. N. Zisapel, M. Levi and I. Gozes. Tubulin - an integral protein of mammalian synaptic vesicle membranes. *J. Neurochem.* 34, 26-32, 1980.
15. R. Hofstein, M. Hershkowitz, I. Gozes and D. Samuel. Characterization and phosphorylation of an actin-likeprotein in synaptosomal membranes. *Biochem. Biophys. Acta*. 624, 153-162, 1980.

16. I. Gozes, B.L. Cronin and M.A. Moskowitz. Protein synthesis in rat brain microvessels decreases with aging. *J. Neurochem.* 36, 1311-1315, 1981.
17. I. Gozes and K.J. Sweadner. Multiple forms of tubulin are expressed by a single neuron. *Nature* 294, 477-480, 1981.
18. I. Gozes and C.J. Barnstable. Monoclonal antibodies that recognize discrete forms of tubulin. *Proc. Natl. Acad. Sci. USA* 79, 2579-2583, 1982.
19. I. Gozes, D.T. O'Connor and F.E. Bloom. A possible high molecular weight precursor to vasoactive intestinal polypeptide sequestered into pheochromocytoma chromaffin granules. *Regulatory Peptides* 6, 111-119, 1983.
20. Y. Gozes, M.A. Moskowitz, T.B. Strom and I. Gozes. Conditioned media from activated lymphocytes maintain sympathetic neurons in culture. *Developmental Brain Research*, 6, 93-97, 1983.
21. I. Gozes, R.J. Milner, F.-T. Liu, E. Johnson, E.L.F. Battenberg, D. Katz and F.E. Bloom. Monoclonal antibodies against vasoactive intestinal polypeptide: Studies of structure and related antigens. *J. Neurochem.* 41, 549-556, 1983.
22. I. Gozes, M. Bodner, Y. Shani and M. Fridkin. Detection of mRNAs containing regulatory peptide sequences using synthetic oligodeoxynucleotide probes. *J. Cell Biochem.* 26, 147-156, 1984.
23. I. Gozes, M. Bodner, H. Schwartz, Y. Shani and M. Fridkin. Studies toward the biosynthesis of vasoactive intestinal peptide (VIP) *Peptides*, 5, 161-166, 1984.
24. M. Bodner, M. Fridkin and I. Gozes. Coding sequences for vasoactive intestinal peptide and PHM-27 peptide are located on two adjacent exons in the human genome. *Proc. Natl. Acad. Sci. USA*, 82, 3548-3551, 1985.
25. H. Werner, Y. Koch, M. Fridkin, J. Fahrenkrug and I. Gozes. High levels of vasoactive intestinal peptide in human milk. *Biochem. Biophys. Res. Commun.* 133, 228-232, 1985.
26. I. Gozes, M. Bodner, Y. Shani and M. Fridkin. Structure and expression of the vasoactive intestinal peptide (VIP) gene in a human tumor. *Peptides* 7, 1-6, 1986.
27. I. Gozes and A. Tsafir. Detection of VIP-encoding mRNA in the rat ovaries. *Endocrinology* 119, 2606-2610, 1986.
28. I. Gozes and Y. Shani. Hypothalamic VIP-mRNA is increased in lactating rats. *Endocrinology* 119, 2497-2501, 1986.
29. I. Gozes, R. Avidor, D. Katzenelson, Y. Yahav, C. Croce and K. Huebner. The gene encoding vasoactive intestinal peptide is located on human chromosome 6p21-6qter. *Human Genetics* 75, 41-44, 1987.
30. I. Gozes, E. Giladi and Y. Shani. VIP-gene expression: Putative mechanism of information storage at the RNA level. *J. Neurochem.* 48, 1136-1141, 1987.
31. I. Gozes, Y. Shani and W.H. Rostene. Developmental expression of the VIP-gene in brain and intestine. *Mol. Brain Res.* 2, 137-148, 1987.

32. I. Gozes, H. Nakai, M. Byers, R. Avidor, Y. Weinstein, Y. Shani and T.B. Shows. Sequential expression in the nervous system of the VIP and c-myb genes located on the human chromosomal region 6q24. *Somatic Cell and Mol. Gen.* 13(4) 305-313, 1987.
33. B.D. Boss, I. Gozes and W.M. Cowan. The survival of dentate gyrus neurons in dissociated cultures. *Dev. Brain Res.* 36, 199-218, 1987.
34. I. Gozes, P. Shachter, Y. Shani and E. Giladi. Vasoactive intestinal peptide gene expression from embryos to aging rats. *Neuroendocrinology*, 47, 27-31, 1988.
35. J.P. Card, S. Fitzpatrick-McElligot, I. Gozes and F. Baldino, Jr. Localization of vasopressin, somatostatin and VIP messenger RNA in the rat suprachiasmatic nucleus. *Cell and Tissue Res.* 252, 307-315, 1988.
36. H. Werner, Y. Koch, F. Baldino Jr. and I. Gozes. Steroid regulation of somatostatin mRNA in the rat hypothalamus. *J. Biol. Chem.* 263, 7666-7671, 1988.
37. F. Baldino, Jr., S. Fitzpatrick-McElligot, T.M. O'Kane andl. Gozes. Hormonal regulation of somatostatin messenger RNA. *Synapse* 2, 317-325, 1988.
38. I. Gozes, H. Werner, M.A.A. Fawzi, Y. Shani, M. Fridkin and Y. Koch. Estrogen regulation of vasoactive intestinal peptide mRNA in the rat hypothalamus. *J. Molec. Neurosci.* 1 55-61, 1989.
39. I. Gozes, R. Avidor, A. Biegton and F. Baldino, Jr. Lactation elevates vasoactive intestinal peptide messenger ribonucleic acid in rat suprachiasmatic nucleus. *Endocrinology*, 124, 181-186, 1989.
40. F. Baldino, S. Fitzpatrick-McElligot, I. Gozes and J.P Card. Localization of VIP and PHI-27 messenger RNA in rat thalamic and cortical neurons. *J. Mol. Neurosci.* 1, 199-207, 1989.
41. R. Levy Holtzman, R. Malach and I. Gozes. Disruption of the optic pathway during development affects vasoactive intestinal peptide mRNA expression. *The New Biologist* 1, 215-221, 1989.
42. I. Gozes, E. Meltzer, S. Rubinrout, D.E. Brenneman and M.Fridkin. Vasoactive intestinal peptide potentiates sexual behavior: Inhibition by novel antagonist. *Endocrinology* 125, 2945-2949, 1989.
43. I. Gozes, Y. Shani, B. Liu and J.P. Burbach. Diurnal variation in vasoactive intestinal peptide messenger RNA in the suprachiasmatic nucleus of the rat. *Neuroscience Research Communications* 5, 83-86, 1989.
44. I. Gozes and D.E. Brenneman. VIP molecular biology and Neurobiological function. *Molecular Neurobiology*, 3, 201-236, 1989.
45. R. Avidor, R. Eilam, R. Malach and I. Gozes. VIP-mRNA is increased in hypertensive rats. *Brain Res.* 503, 304-307, 1989.
46. E. Giladi, Y. Shani and I. Gozes. The complete structure of the rat VIP-gene. *Mol. Brain Res.* 7, 261-267, 1990.

47. D.E. Brenneman, S.K. McCune and I. Gozes. Acquired immune deficiency syndrome and the developing nervous system. International Review of Neurobiology, 32, 305-353, 1990.
48. I. Gozes, D.E. Brenneman, M. Fridkin, R. Asofsky and I. Gozes. A VIP antagonist distinguishes VIP receptors on spinal cord cells and lymphocytes. Brain Res. 540, 319-321, 1991.
49. J.M. Hill, I. Gozes, J.L. Hill, M. Fridkin and D.E. Brenneman. Vasoactive intestinal peptide antagonist retards the development of neonatal behaviors in the rat. Peptides, 12, 187-192, 1991.
50. I. Gozes, S.K. McCune, L. Jacobson, D. Warren, T.W. Moody, M. Fridkin and D.E. Brenneman. An antagonist to vasoactiveintestinal peptide: effects on cellular functions in the central nervous system. J. Pharmacol. and Exp. Therap. 257, 959-966, 1991.
51. D.V. Agoston, L.E. Eiden, D.E. Brenneman and I. Gozes. Spontaneous electrical activity regulates vasoactive intestinal peptide expression in dissociated spinal cord cell cultures. Mol. Brain Res. 10, 235-240, 1991.
52. J.R. Glowa, L.V. Panlilio, D.E. Brenneman, I. Gozes, M. Fridkin and J.M. Hill. Learning impairment following intracerebral administration of the HIV envelope protein gp120 or a VIP antagonist. Brain Res. 570, 49-53, 1992.
53. D.E. Brenneman, M. Schultzberg, T. Bartfai and I. Gozes. Cytokine regulation of neuronal survival. J. Neurochem. 58, 454-460, 1992.
54. I. Gozes and M. Fridkin. A fatty neuropeptide: potential drug for noninvasive impotence treatment in a rat model. J. Clinical Investigation. 90, 810-814, 1992.
55. M. Dussaillant, A. Sarrieau, I. Gozes, A. Berod and W. Rostene. Distribution of cells expressing vasoactive intestinal peptide/peptide histidine isoleucine-amide precursor messenger RNA in the rat brain. Neuroscience. 50, 519-530, 1992.
56. I. Gozes and D.E. Brenneman. Neuropeptides as growth and differentiation factors in general and VIP in particular. J. Molecular Neurosci. 4, 1-9, 1993.
57. P. Gressens, J.M. Hill, I. Gozes, M. Fridkin and D.E. Brenneman. Growth factor function of vasoactive intestinal peptide in whole cultured mouse embryos. Nature, 362, 155-158, 1993.
58. T.W. Moody, F. Zia, M. Draoui, D.E. Brenneman, M. Fridkin, A. Davidson and I. Gozes. A VIP antagonist inhibits non-small cell lung cancer growth. Proc. Natl. Acad. Sci. USA, 90, 4345-4349, 1993.
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**Presentations/abstracts (January 2004 - )**

- **January 2004**
  - The 25th Winter Neuropeptide Conference, Breckenridge CO, USA, January 31- February 3, 2004
- Committee member, session chair: Translational Research: Peptides from the lab bench to the clinic. Lecture: NAP: Discovery and development of an eight amino acid neuroprotective peptide drug candidate.
- February 2004**

- International Congress of Biological Psychiatry, Sydney Convention & Exhibition Centre, Sydney, Australia, February 9 – 13, 2004

Lecture: Intranasal administration of NAP, a neuroprotective peptide Decreases Anxiety-Like Behavior in Aging Mice in the Elevated Plus Maze.

**March 2004**

- Intranasal CNS Drug Delivery (Management Forum Ltd), London, England, March 29-30, Lecture.

**May 2004**

- NEUROPEPTIDES 2004 XIV EUROPEAN NEUROPEPTIDES CLUB MEETING ALICANTE, Spain, May 9-12, 2004, Secretary of the Club, Session Chair, lecture: From VIP and PACAP to ADNP and NAP: new horizons in drug development and neuroprotection.

Publication: Aracil A, Belmonte C, Calo G, Gallar J, Gozes I, Hoyer D, Patachini R, Schmidt RF, Zimmermann M. Proceedings of Neuropeptides 2004, the XIV European Neuropeptides Club meeting. *Neuropeptides*. 2004 Dec;38(6):369-71.

**July 2004**

- Summer Neuropeptide Meeting, Miami Beach, Florida, July 5-9. Meeting Co-Chair, Session Chair and Lecture: Publication: Gozes I, Brenneman DE. Summer Neuropeptide Conference: The 14th Annual Meeting of the Summer Neuropeptide Conference, Miami Beach, Florida, USA, July 5–9, 2004. *Neuropeptides* 39 (2005) 29–33 (all the meeting abstracts: 35–65, including:
  - Gozes, S. Mandel, I. Divinski, D. E. Brenneman, A. D. Spier. From homeobox proteins to neuroprotection: potential new therapeutics. Page 45.
  - R. A. Steingart, I. Gozes. Recombinant activity-dependent neuroprotective protein protects pheochromocytoma cells against oxidative stress. Page 64.
  - I. Vulih, A. Pinhasov, D. E. Brenneman, N. Grigoriadis, I. Gozes. Growth restriction and learning deficits in male mice harboring one copy of the gene encoding activity-dependent neuroprotective protein. Pages 63-64.
- The 9<sup>th</sup> International Conference on Alzheimer's Disease and Related Disorders, July 17-22, Pennsylvania Convention Center, Philadelphia. Hot Topics poster presenter.

**August 2004**

- Peptide receptors, July 31-August 4, Montreal, Canada. Lecture: Toward the isolation and characterization of the VIP receptors that mediate neuronal survival.

**September 2004**

- 12<sup>th</sup> International Congress of Endocrinology, August 31- September 4, Lisbon, Portugal.  
Lecture: Peptides and cellular protection.
- 3<sup>rd</sup> International and 28<sup>th</sup> European Peptides Symposium, September 5-10, Prague, Czech Republic.

Lecture: Novel neuroprotective peptides: from genes to behavior and potential therapeutics.

November 2004

- Society for Neuroscience Meeting, November 12-16, San Diego, CA, USA, oral presentation:
  - I. Gozes, I. Divinski, I. Vulih, S. Furman, A. Pinhasov, Z. Pitel, N. Grigoriadis, D.E. Brenneman. MICROTUBULE-RELATED NEUROPROTECTION: ACTIVITY-DEPENDENT NEUROPROTECTIVE PROTEIN (ADNP), NAP, TUBULIN, AND TAU Program No. 485.3. 2004 Abstract Viewer/Itinerary Planner. Washington, DC: Society for Neuroscience, 2004. Online.
  - Israel Society for Neuroscience, Eilat, November 28-30 Abstracts- Neural Plasticity: Abstracts of the 13<sup>th</sup> Annual Meeting of the Israel Society for Neuroscience, Vol. 12, No. 1:
    - Page 13: I. Divinski, L. Mittelman and I. Gozes. NAP binding to tubulin mediates cell survival.
    - Page 27: M. Holtser, K. Vered, S. Furman, S. Mandel, I. Gozes. Immunchemical recognition of activity dependent neuroprotective protein (ADNP) and the active peptide NAP.
    - Page 33: M. Kushnir, S. Mandel, I. Gozes. Characterization of a novel brain protein- KIAA0863.
    - Page 39: S. Mandel, N. Amariglio, J. Jacob, G. Rechavi, I. Vulih, I. Gozes. Activity-dependent neuroprotective protein regulates neurogenin 1: a novel gene important for neurogenesis.
    - Page 47: I. Pilzer, R.A. Steingart, D. Dangoor, S. Rubinrot, M. Fridkin, D.E. Brenneman and I. Gozes. Toward the isolation and characterization of the VIP receptor that mediates neuronal survival.
    - Page 59: R.A. Steingart, I. Gozes. The recombinant fusion protein VP-22-activity-dependent neuroprotective protein protects pheochromocytoma cells against oxidative stress.
    - Page 62: I. Vulih, A. Pinhasov, D.E. Brenneman, N. Grigoriadis, I. Gozes. Learning deficits and neuronal degeneration in male mice harboring one copy of the gene encoding activity-dependent neuroprotective protein (ADNP).

March 2005

- 7th International Conference on Alzheimer's and Parkinson's Disease, Sorrento, Italy, March 9-13
- **Gozes** <sup>1</sup>, I. Divinski <sup>1</sup>, I. Shultzman <sup>1</sup>, R.A. Steingart <sup>1</sup> NEUROPROTECTION THROUGH MODULATION OF MICROTUBULE DYNAMICS: TOWARD CLINICAL DEVELOPMENT IN ALZHEIMER'S DISEASE

April 2005

- **EUROPEAN NEUROPEPTIDES CLUB 2005 XV** EUROPEAN NEUROPEPTIDES CLUB MEETING Riga, Latvia, May 19-21, 2004, Secretary of the Club, Session Chair, lecture: I. Gozes, I. Divinski, M. Holtser-Cochav. Neuroprotection: peptides downstream from VIP.

November 2005

- I. Gozes, I. Divinski, M. Holtser-Cochav. A NEUROPROTECTIVE DRUG CANDIDATE (AL-108 = NAP)

AND RELATED COMPOUNDS INTERACT WITH TUBULIN  
THROUGH A TAXOL-ASSOCIATED SITE Program No.  
339.4. 2005 Abstract Viewer/Itinerary Planner. Washington,  
DC: Society for Neuroscience, 2005. Online.

**December 2005**

The Israeli Society for Neuroscience: Reviews in the neurosciences: Vol16, Suppl 1, 2005

- S31- Holtser- Cochav M. and Gozes I. NAP, a neuroprotective peptide, interacts specifically with brain beta III tubulin.
- S16- Divinski I and Gozes I. NAP, a femtomolar-acting peptide, interacts with tubulin and provides neuroprotection
- S25- Gozes I. and Giladi E. From the activity-dependent neuroprotective gene family to NAP toward clinical development
- S39- Kushnir M., Gozes I. Molecular characterization of the novel KIAA0863 gene
- S44- Mandel S., Gozes I. ADNP, retinoic acid and HP: toward the understanding ADNP actions
- S5-Pilzer I., Gozes I. A splice variant of PAC receptor mediates VIP protective activity on non-neuronal cells through cGMP
- S6-Spivak – Pohis I., Mandel S., Loh YP. and Gozes I. Constitutive and regulated secretion of activity-dependent neuroprotective protein (ADNP)-like protein sequences

S66-Vulih-Shultzman I., Pinhasov A., Kryvoshey A. and Gozes I. ADNP is essential for brain function

**January 28-31, 2006**

27th Annual Winter Neuropeptide Meeting

Breckenridge, CO

Invited lecture

March 28-29, 2006

Strategic Research Institute's "CNS Diseases Congress: Alzheimer's Disease Track"

Cambridge, MA

Invited lecture

March 29-30, 2006

Management Forum on Nasal Delivery

London, UK

Invited lecture

**May 29-31, 2006**

Biomed Israel 2006

Jerusalem, Israel

Lecture

June 21-22, 2006

13th Tel Aviv University Alzheimer's Disease Conference

Tel Aviv, Israel

Lecture

**June 28 – July 1, 2006**

Summer Neuropeptide Meeting

Miami, FL

Invited lecture/Meeting Co-Chair (President)

**July 9-14, 2006**

Protein Processing, Trafficking & Secretion Gordon Research Conference, New

London, NH

Invited lecture

**July 15-20, 2006**

10th International Conference on Alzheimer's Disease and Related Disorders,

Madrid, Spain

Invited lecture

**October 12-13, 2006**

7th International Conference on Alzheimer's Disease Drug Discovery  
New York, NY

Presentation, Advisory Board

**October 14-18, 2006**

Neuroscience Meeting USA

Atlanta, GA

Poster presentation

**December 3-5, 2006**

Israel Society for Neuroscience (ISFN)

Eilat, Israel

Invited lecture + poster presentations

**February 5-6, 2007**

Drug Discovery, Development & Delivery for Chronic Neurodegenerative Disease: A  
Course for Academic and Biotechnology Scientists.

New York, NY

Presentation, Advisory Board

**March 1, 2007**

Heart and Brain - TAU

**March 14-18, 2007**

AD/PD 2007, Salzburg, Austria

**April 19 – 23, 2007**

ENC 2007

European Neuropeptide Club

Annual Meeting

Santorini Island, Greece

Secretary General

**Drug Discovery, Development & Delivery for Chronic Neurodegenerative  
Disease**

February 5-6, 2007

New York, NY

Presentation, Advisory Board

**Heart and Brain**

March 1, 2007

Tel Aviv University, Israel

Presentation

**AD/PD 2007**

March 14-18, 2007

Salzburg, Austria

Presentation

**European Neuropeptide Club 2007**

April 19-23, 2007

Annual Meeting

Santorini Island, Greece

Presentation

**IUBMB Conference**

May 21-25, 2007

Salvador de Bahia, Brazil

Presentation

**The 14th Tel Aviv University Alzheimer's Disease Conference**

June 13-14, 2007

Tel Aviv, Israel

Presentation

**VIP/PACAP Meeting**

September 3–7, 2007

Vermont, USA  
Presentation/Meeting Co-Chair  
**Summer Neuropeptide Meeting**  
September 8 – 9, 2007  
Vermont, USA  
Presentation/Meeting Co-Chair (President)  
**SFN**  
November 3-7, 2007  
San Diego, CA  
Presentation  
**Adams Super Center satellite to the ISFN meeting**  
November 23-24, 2007  
Eilat, Israel  
Presentation  
**ISFN**  
November 25-27, 2007  
Eilat, Israel  
Presentation  
**The 4th International Winter-Conference on Alzheimer's Disease**  
December 7-10, 2007  
Zürs, Austria  
Presentation  
2008 abstracts: ENC joint meeting, Ferrara, Italy, The 2nd Joint US-Israeli-Palestinian Brain Conference Jerusalem, ICAD Chicago, IL, USA(2); Drug Discovery & Development of Innovative Therapeutics, Boston, MA, USA

## **EXHIBIT H**

# NAP, a Peptide Derived from the Activity-Dependent Neuroprotective Protein, Modulates Macrophage Function

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RAFAEL FERNANDEZ-MONTESINOS,<sup>c</sup> JUAN LUIS HERRERA,<sup>c</sup>  
ILLANA GOZES,<sup>b</sup> IRUN R. COHEN,<sup>a</sup> AND DAVID POZO<sup>a,c</sup>

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**ABSTRACT:** NAP is an eight-amino acid neuroprotective peptide NAPVSPQ; it is the smallest active element derived from the recently cloned activity-dependent neuroprotective protein (ADNP). NAP readily enters the brain from the blood. It will be important to learn whether NAP, in addition to its neuroprotective activity, also might influence immune-mediated inflammation. Here, we report that: (*a*) macrophages express ADNP; (*b*) expression of ADNP in macrophages responds to VIP; and (*c*) NAP downregulates the key inflammatory cytokines tumor necrosis factor (TNF- $\alpha$ ), interleukin-16 (IL-16), and IL-12 in macrophages. These findings indicate that ADNP/NAP can play an important role in immune regulation as well as in neuroprotection, which may be mutually related processes.

**KEYWORDS:** vasoactive intestinal polypeptide (VIP); activity-dependent neuroprotective protein (ADNP); macrophages; neuroimmunology; gene expression

## INTRODUCTION

Neurotrophic proteins and neuropeptides have important regulatory functions and are a focus of intensive research in rational drug design.<sup>1,2</sup> Previous

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studies have identified several components by sequential chromatographic methods within the neurotrophic milieu produced by astroglia, activity-dependent neurotrophic factor (ADNF) being one of the most potent.<sup>3</sup> The active peptide fragment of ADNF is ADNF-14 (VLGGGSALLRSIPA)<sup>3</sup>; ADNF-9 (SALLRSIPA), a shorter C-terminal peptide, retains full biological activity.<sup>4</sup> Antibodies to ADNF-14 or to ADNF-9 were used to identify the activity-dependent neuroprotective protein (ADNP), and the cDNA has been cloned from mouse neuroglial cells and human fetal brain.<sup>5,6</sup> Structure/activity screening of several peptides derived from ADNP identified a potent octapeptide, NAP (NAPVSIPQ).<sup>5</sup> NAP has a greater *in vivo* neuroprotective efficacy than ADNF-9.<sup>5,7,8</sup>

The neuroprotective activities of NAP have been studied in a wide variety of systems. NAP induces neuroprotection against the  $\beta$ -amyloid peptide's toxicity involved in the onset of Alzheimer's disease,<sup>5,9,10</sup> oxidative stress,<sup>11</sup> NMDA excitotoxicity,<sup>5</sup> tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) toxicity,<sup>12</sup> transient glucose deprivation,<sup>9</sup> dopamine toxicity, and decreased glutathione.<sup>13</sup> NAP's biological properties related to neuroprotection have been demonstrated in *in vivo* models of closed head injury, fetal alcohol syndrome, and stroke<sup>7,14</sup> and it also been involved in neurodevelopment.<sup>15</sup> NAP is under phase I clinical trials in the United States.

Neuropeptides and neurotrophic proteins perform a broad array of seemingly unrelated functions. Vasoactive intestinal polypeptide (VIP), for example, promotes neuronal survival,<sup>16,17</sup> but is also a potent immunomodulator<sup>18,19</sup> and is under clinical trials. Remarkably, VIP inhibits the acute inflammatory response that follows spinal cord injury<sup>20</sup> and prevents activated microglia-induced neurodegeneration under inflammatory conditions<sup>21</sup> while increasing the synthesis of the NAP-containing protein ADNP in astroglia.<sup>5</sup> Given the breath of NAP's neuroprotective activities and the fact that ADNP is a VIP-responsive gene, we were interested to examine the direct consequences of NAP on the immune system. The present article shows for the first time direct effects of NAP on the macrophage, a cell with a critical role in the initiation and coordination of the immune response. Mindful that VIP acts on activated macrophages as a potent, endogenous anti-inflammatory neuropeptide and that ADNP is a VIP-responsive gene, the current study was performed to investigate whether ADNP mRNA expression can be detected in a mouse macrophage cell line and whether VIP is able to increase the steady-state levels ADNP mRNA.

## MATERIAL AND METHODS

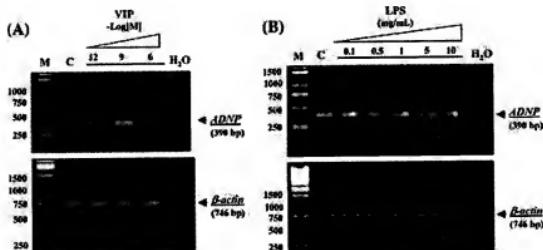
Synthetic VIP was purchased from Calbiochem-Novabiochem (Laufelfingen, Switzerland). NAP was used as before.<sup>22</sup> The mouse macrophage cell line RAW 264.7 was obtained from the American Type Tissue Collection (Rockville, MD). These cells were maintained in RPMI 1640 supplemented

with 25 mM HEPES, 10% (v/v) heat-inactive fetal calf serum (FCS) (Biowhitaker, Wokingham, UK), 10 mM glutamine, 100 U/mL penicillin, and 100 µg/mL streptomycin (components from Sigma Chemical Co., St. Louis, MO). For mRNA analysis cells, total RNA was extracted and DNase-treated after TriPure isolation reagent (Roche Diagnostics GmbH, Mannheim, Germany) following manufacturer's instructions. Murine ADNP primers were derived from the published sequence of murine ADNP and reverse transcription polymerase chain reaction (RT-PCR) experimental conditions were previously reported.<sup>23</sup> cDNA was previously titrated to amplify in the linear range. Cytokine levels were determined by enzyme-linked immunosorbent assay (ELISA) according to manufacturer's instructions (BD-Pharmingen, San Diego, CA).

## RESULTS AND DISCUSSION

RT-PCR of mRNA using the ADNP primers from RAW 264.7 macrophages resulted in single DNA band when analyzed by agarose gel electrophoresis (FIG. 1 A). RT-PCR reactions were also processed with control β-actin house-keeping gene primers. These RT-PCR reactions correspond to the predicted size for PCR amplification using the ADNP primers, and nucleotide sequences of the amplified fragments showed an identical sequence to the mouse ADNP gene.<sup>5</sup> Thus, we report for the first time that ADNP mRNA is expressed in immune system cells, namely, macrophages in a resting state. To further investigate the physiological role of ADNP in this context, we tested whether VIP treatment might influence ADNP gene expression in macrophages. FIGURE 1 A shows that ADNP mRNA levels were increased after 24 h of VIP treatment. The highest increase in ADNP mRNA was produced at nanomolar concentrations of VIP, with a slightly increased level at  $10^{-12}$  M VIP. The effect of VIP was not dose dependent, most probably due to VIP receptor desensitization in macrophages.<sup>24</sup> Thus, ADNP is a VIP-responsive gene in macrophages at concentrations that can be sensed by VIP receptors (VPAC) on immunocompetent cells. VIP production and secretion are elevated after immunological stimuli<sup>25</sup> and, therefore, some of VIP's immunomodulatory properties might be mediated in part by ADNP. To know whether ADNP mRNA levels are modified by immunological stimuli, we incubated RAW 264.7 cells in the presence of increasing concentrations of lipopolysaccharide (LPS) (0.1–10 mg/mL) for 24 h. ADNP gene expression was not altered after LPS treatment (FIG. 1 B). Toll-like receptors (TLRs) are key regulators of innate immunity, sensing and responding to invading microorganisms. LPS is the main ligand of the TLR-4 and TLRs include up to 10 different gene products.

So, at this point, we cannot rule out whether ADNP is regulated by other TLR-ligands. Nevertheless, these data should be taken as qualitative, taking into consideration the limitations of the RT-PCR approach to quantify



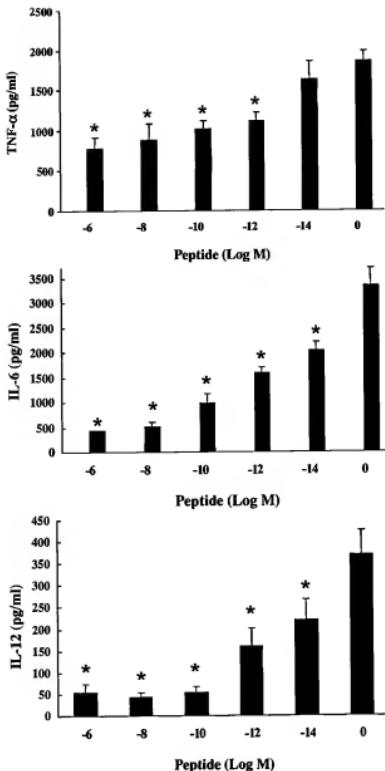
**FIGURE 1.** ADNP is expressed in macrophages. (A) RT-PCR analysis of ADNP in the macrophage-like RAW 264.7 cell line. Arrows indicate the PCR product amplified with specific ADNP primers (390 bp [base pairs]) and β-actin primers (746 bp). (B) Effect of LPS treatment on ADNP mRNA levels in RAW 264.7 cells. Results are representative of four independent experiments.

accurately mRNA. In this sense, differential expression of ADNP mRNA is currently being studied in our lab to assess VIP and known TLR-ligands effects by real-time PCR.

Given the breath of NAP's neuroprotective activities and the fact that ADNP is a VIP-responsive gene expressed in macrophages, we were interested to examine direct consequences of NAP treatment on key cytokines involved in the inflammatory response such as TNF-α, interleukin-6 (IL-6), and IL-12. RAW 264.7 cells treated with 0.1 mg/mL LPS in the presence of increasing concentrations of NAP for 24 h showed an inhibition of TNF-α, IL-6, and IL-12 secretion (Fig. 2). Although the mechanism of action involved is not yet known, our results support the role of NAP as a potent immunomodulator. Several questions related to the mechanism of action are under current investigation; we wish to learn whether ADNP could act in a paracrine and/or autocrine fashion under different circumstances. A study on NAP potential new functions can define novel mechanisms that modulate immune responses, and might lead to the development of new therapies for immune-mediated disorders, particularly, for neurodegenerative diseases in which neuronal defense mechanisms and immunomodulation represent innovative approaches.

#### ACKNOWLEDGMENTS

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**FIGURE 2.** Effect of NAP on cytokine release (TNF- $\alpha$ , IL-6, and IL-12) by RAW 264.7 cells. Cytokine levels were determined in supernatants by ELISA after activation of RAW cells with 0.1 mg/mL LPS for 24 h in the presence of different concentrations of NAP. Cytokine basal levels for TNF- $\alpha$ , IL-6, and IL-12 were  $485 \pm 83$  pg/mL,  $337 \pm 101$  pg/mL, and  $60 \pm 27$  pg/mL, respectively. Statistical significance was determined by ANOVA followed by a Student-Newman-Keuls test.

David Pozo), VI European Framework Program UEMERG (CT2004-00638 to David Pozo), Minerva Foundation (to Irun R. Cohen), and the Center for the Study of Emerging Diseases (to Irun R. Cohen). Francisco J. Quintana was funded by a fellowship from the Feinberg Graduate School, The Weizmann Institute of Science. Rafael Fernandez-Montesinos and Juan Luis Herrera were funded by fellowships from Junta de Andalucía. Professor Illana Gozes is the incumbent of the Lily and Avraham Gildor Chair for the Investigation of Growth Factors and heads the Dr. Diana and Zelman Elton (Elbaum) Laboratory for Molecular Neuroendocrinology. This study was supported by Allon Therapeutics, ISF, and BSF. Irun R. Cohen is the Meuerberger Professor of Immunology at the Weizmann Institute of Science, the Director of the Center for the Study of Emerging Diseases, Jerusalem, and the Director of the National Center for Biotechnology in the Negev, at the Ben-Gurian University of the Negev.

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